MISSOURI DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
DIVISION OF WORKERS' COMPENSATION

Missouri Department of Labor and Industrial Relations

Division of Workers' Compensation (MODWC)

EDI Claims Release 3.1

Implementation Guide

Revised: July 14, 2025

Preface

Mo. Rev. Stat. §287.650 authorizes the Missouri Division of Workers' Compensation to use electronic processes for carrying out the provisions of the Workers' Compensation Law.

The Missouri Department of Labor and Industrial Relations Division of Workers' Compensation (MODWC) is pleased to announce that electronic reporting of First Reports of Injury (FROI) and Subsequent Reports of Injury (SROI) will be done via Electronic Data Interchange (EDI) transactions using the Claims 3.1 reporting standards adopted by the International Association of Industrial Accident Boards and Commissions (IAIABC). This solution provides the MODWC with an efficient and accurate method for receiving reports required by the Missouri Department of Labor and Industrial Relations Division of Workers' Compensation.

EDI reporting is not new to Missouri as the MO DWC has been receiving FROI transactions from insurance carriers, self-insured employers, and third-party administrators via EDI since January 1, 2009.

The use of EDI Claims release 3.1 has been mandated for all carriers as of December 5, 2025. Mo. Rev. Stat. §287.380 gives the Director of the MODWC the authority to determine the form and manner of the filing of reports of accidents and settlements. EDI Claims Release 3.1 provides for the electronic transfer of more comprehensive injury data than what was available in Release 1.0. As a result, the transition to EDI Claims Release 3.1 will allow the Division to obtain more detailed claim data. All Trading Partners will be required to register and submit a Trading Partner Acknowledgment form with the MODWC to ensure secure and compliant acceptance of claim data by the MODWC vendor.

Accurate and timely information is vital to how well the MODWC serves the workers' compensation community. The EDI Claims Release 3.1 system will facilitate improvement in both areas. The requirements in both the data tables and this Implementation Guide were developed in an effort to minimize the impact of transitioning to a new standard while also insuring the accurate reporting of claim information. The following sections provide the necessary information for understanding how to conduct EDI business with the MODWC as well as references to other important documents. Thank you for participating with us in maintaining and improving Missouri 's EDI reporting system.

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Section One: Forward

A. IAIABC EDI Claims Release 3.1 Standards and Documentation

1. The MODWC has adopted standards developed by the International Association of Industrial Accident Boards & Commissions (IAIABC). The IAIABC is a not-for-profit trade association which neutrally represents the interests of jurisdiction members and the workers' compensation insurance industry.

2. The MODWC has designed this EDI Claims Implementation Guide to assist Insurers, Self-Insured Employers and Claims Administrators with the transition from Release 1 reporting to Release 3.1 reporting. It serves as an information resource for trading partners operating in Missouri and provides Missouri -specific requirements. The MODWC Guide should be used in conjunction with the most current version of the IAIABC EDI Implementation Guide for Claims Release 3.1. The IAIABC Guide is the authority on the EDI Claims Release 3.1 standard. The IAIABC holds a copyright on the Guide and while members of the IAIABC may obtain it without cost, non-members must purchase a copy. The IAIABC can be reached by visiting their website at www.iaiabc.org.

3. In addition to the IAIABC EDI Implementation Guide for Claims Release 3.1, the IAIABC website offers access to several other important EDI coding tables required for implementing the EDI Claims Release 3.1 standard. The coding tables are available for download on the IAIABC website. The MODWC recommends that carriers, self-insured employers and claims administrators get the Guide and coding tables as soon as possible and begin reviewing the materials with internal management and IT staff.

B. The Evolution of the EDI Claims Reporting Standards

1. In the 1970s, various state legislatures began questioning how their workers' compensation systems were working compared to other state systems, and in the 1980s the International Association of Industrial Accident Boards and Commissions (IAIABC) created a Statistics Committee, whose task it was to identify, compare, and standardize injury data across jurisdictional boundaries. As technology boomed in the 1990s, insurance carriers and jurisdictions met in an effort to continue the standardization process in order to develop electronic reporting of injury data. The result was the formulation of the IAIABC Electronic Data Interchange (EDI) Steering Committee, which created technical working groups. These groups focused on defining common data elements used when filing claims and developed a standard format for the electronic transfer of claims data from jurisdiction paper report of injury forms. Participants met under the auspices of the International Association of Industrial Accidents Boards and Commission (IAIABC) to satisfy antitrust requirements.

2. The discussions of this group resulted in the creation of a data element dictionary and a reporting event table that could be used by any state and which was based on the claims administrator claim handling process with consideration for the jurisdictional-required employer reports filing. This utilized existing and widely used data standards to leverage

system enhancements implemented by many claims administrators and state administrators.

3. This process took several years to fine tune into the national standards existing today. MODWC mandated that all First Reports of Injury be filed electronically on January 1, 2009.

C. Missouri 's EDI Partnership

1. The Missouri Department of Labor and Industrial Relations Division of Workers' Compensation (MODWC) announced a Request for Proposal to contract with a Vendor (MODWC's Vendor) to facilitate EDI Claims filing for Missouri's workers' compensation carriers and self-insured employers and/or their claims administrators. In the context of EDI, claim administrators, carriers, self-insured employers, and/or their contracted vendors may be trading partners.

2. Verisk's Workers Compensation Solutions division has been selected as Missouri's Vendor. Verisk will manage the technical aspects of data submittal, be your main contact for implementation, technical requirements, and other questions you may have. You can expect to hear from VERISK directly about EDI Claims Reporting for Missouri.

3. All entities reporting via EDI Claims Release 3.1 must accept a Trading Partner Acknowledgment as part of the Trading Partner Registration process. See Section 4 of this guide for more details.

4. Although the MODWC does not endorse a particular vendor, the Trading Partner approval process ensures that only firms with specific EDI Claims Release 3.1 experience are allowed to offer their services to our trading partners. The approved vendors may offer varied services depending on the trading partners' needs. If a carrier, self-insured employer, or claims administrator selects a vendor, that vendor must complete the required testing to be an approved vendor. The approved vendor will be allowed to submit EDI transactions on behalf of the carrier, self-insured employer or claim administrator. For a list of EDI Vendors, please contact the IAIABC at webmaster@iaiabc.org.

D. EDI Implementation Dates

1. Upon acceptance of the FROI, the MODWC Vendor will assign a jurisdiction claim number to the FROI for tracking and matching purposes. The jurisdiction claim number will then be returned to the trading partner via the electronic acknowledgement.

2. EDI Claims Release 3.1 for FROI and SROI transactions will begin December 5, 2025.

3. All trading partners must complete FROI and SROI testing and be ready for production by close of business December 5, 2025. The MODWC will work with MODWC's Vendor to provide initial and ongoing training and guidance to trading partners who need assistance regarding the proper reporting of claim information via EDI. It is imperative that trading partners become familiar with the Missouri EDI Claims Release 3.1 Event Table to know

what claim events trigger reporting and the timelines in which a FROI or SROI must be transmitted to the MODWC.

E. Missouri reporting requirements

1. New Claims
A FROI that is filed with the MODWC on or after EDI Claims Release 3.1 implementation requires an electronic FROI submission. All SROIs associated with a FROI submitted via EDI Claims Release 3.1 must also be submitted via EDI Claims Release 3.1. See Section Six for technical specifics.

2. Legacy Claims
A legacy claim is one that was accepted prior to EDI Claims Release 3.1 implementation. Please see the MODWC Event Table (MTC UR) for filing requirements related to open Legacy Claims.

Section Two: EDI Implementation Management

1. EDI Claims is a method to transmit claims management data to meet jurisdictional reporting requirements. Ideally, EDI converts a manual process into an automated or software-assisted process, to allow computer-to-computer communication. The initial implementation tasks are to assess the jurisdiction's requirements, compare those requirements to your company's manual and automated claims handling processes to determine the best business solution for your company.

2. The technical side of EDI has three major components:

   a) The computer-based claim processing system where claim data is stored

   b) An EDI management system or a component that contains jurisdiction requirements:

      - The required report types
      - The required time line for each report
      - The jurisdiction's data requirements
      - The required edits
      - The jurisdictions' response to each report
      - The company's ability to resubmit rejected FROI and SROI transactions

   c) A system that manages the exchange of reports (FROI, SROI and Acknowledgments) between two or more parties (trading partners, EDI Service Vendors, jurisdictions, etc.)

3. Due to the differences between the claim handling processes and electronic data systems of different Claims Administrators, each administrator may have varying degrees of capability. Each must assess their own capabilities and make a determination whether they will self-handle the EDI process internally, either by developing the software to meet the Missouri requirements or by utilizing the web portal which VERISK will make available

for low volume filers, or by engaging their own EDI Service Vendor. In any event each administrator must determine the best way to modify its claim handling process in order to meet the three technical EDI components.

4. Although the below list is not all inclusive, it will help guide you to key items to consider when evaluating how your firm can comply with this EDI implementation.

    a) Determine whether your firm already has EDI programming in place with Missouri or other jurisdictions. If so, several of the steps immediately below may already have been completed by your firm.

    b) Completely read this implementation guide and review the tables referenced next to ensure your firm's understanding of Missouri's EDI requirements. Identify the MODWC reporting requirements for each data element using the provided MTC Event Table, Edit Matrix Table, and Element Requirements Table which are incorporated as part of this Guide. These are available on the MODWC EDI Claims website: https://modwcedi.info.

    c) Go to www.iaiabc.org to obtain a copy of the current IAIABC EDI Claims Release 3.1 Implementation Guide.

    d) Review the definition of each data element listed in the MODWC EDI Requirement Tables.   Note any difference between these definitions and those of your organization in order to develop a possible crosswalk.

    e) Note any required elements in the Missouri's tables not currently captured by your database in case they need to be added to comply with MODWC requirements.

    f) Assess your firm's current EDI capabilities (hardware & software).

    g) Monitor the MODWC EDI website's Implementation page:

       https://modwcedi.info for updates and requirement changes. Attend/review the MODWC Claims EDI R3.1 Information training session. Request to be added to the EDI Claims Implementation email distribution list. Send your request to the MODWC EDI Support Team at modwcedi@verisk.com.

    h) Training will be made available by the MODWC Vendor. A date and time will be posted on MODWC's EDI Claims website and linked on the MODWC website.

    i) Review schedule for testing your FROI and SROI transmissions with our MODWC Vendor.

    j) You may wish to use industry meetings and other business contacts to identify claims administrators that have participated in the development of the standards (IAIABC members), and/or have successfully implemented EDI in other states. Contact these organizations to discuss how best to approach EDI implementation and to check references of approved vendors.

Section Three: Reporting Processes and Options

1. Missouri's EDI reporting process includes:

   a) Capturing state required reporting data in the IAIABC EDI Claims Release 3.1 format.

   b) Editing for data content and quality.

   c) Managing communications (report transmissions-sending & receiving).

   d) Managing acknowledgments, replacement reports and corrections.

2. Acceptance and acknowledgement of an EDI Claims Release 3.1 transaction does not mean that the data is in compliance with applicable statutory provisions and reporting requirements (i.e., amounts, timeliness, etc.).

3. The MODWC has contracted with a single vendor who will be the point source for all EDI transmissions from Trading Partners. Transmissions received from Trading Partners in proper Missouri EDI Claims Release 3.1 format will not incur a transmission cost from the MODWC vendor.

4. It is important to note that utilization of the IAIABC EDI Claims Release 3.1 Standard requires a license from the IAIABC.

5. As noted in Section Two, above, each Trading Partner must evaluate their individual situation and make a determination to either internally program to Missouri EDI Claims Release 3.1, or to retain a third-party to handle their data transmissions. An alternative for low volume filers will be entry of data via the MODWC vendor's web portal.

Section Four: EDI Trading Partner Process

1. Please note, your business model may require you to take supplemental steps between those suggested below. In the case of an experienced EDI partner, trading with other jurisdictions utilizing EDI Claims Release 3.1, you may find your company has already addressed some of the below steps.

2. When forms are referenced in these steps, the location of instructions for completing the forms is also included. If after reviewing the steps you need to discuss them further, please email modwcedi@verisk.com.

A. Contact the IAIABC/ Obtain the IAIABC EDI Claims Release 3.1 Implementation Guide

1. A thorough understanding of the IAIABC definitions and standards is required to be a successful EDI Trading Partner in Missouri. The IAIABC Claims Release 3.1 Implementation Guide augments this Missouri Department of Labor and Industrial Relations Division of Workers' Compensation

EDI Claims Implementation Guide. Visit the IAIABC web site: www.iaiabc.org to obtain a copy of the current Claims Release 3.1 Implementation Guide and other publications that may assist in implementing Missouri EDI requirements.

2. This Missouri Department of Labor and Industrial Relations Division of Workers' Compensation Guide provides Missouri specific information that is used in conjunction with the IAIABC EDI Claims Release 3.1 Implementation Guide.

B. Designate an EDI Point of Contact

1. Regardless of which reporting format your company qualifies for (reporting via an approved vendor, online or direct reporting) your company must designate an EDI point of contact. Your company is responsible to update the MODWC if and when the contact changes. This contact person must be able to speak on behalf of your organization and be knowledgeable about:

   a) Your source data.
   b) How to retrieve the source data.
   c) Your business process and support systems.

2. We recommend that your EDI Point of Contact participate in training provided by MODWC through its vendor, Verisk.

C. Review Missouri EDI data requirements and claim events that require reporting

Refer to Section Six: Technical Requirements. This detailed section defines the reports required by Missouri and the business events or situations that trigger specific EDI transactions to be filed with MODWC.

D. Register with MODWC's Vendor to complete the EDI Trading Partner Acknowledgment Form

Although EDI Trading Partner Registration and EDI Trading Partner Acknowledgment are arranged through the MODWC Vendor, MODWC ultimately grants the final approval for a trading partner to submit FROI and SROI data. To register: https://modwcedi.info/register.

Section Five: EDI Testing Requirements and Plans

During the testing period, and until the EDI trading partner is approved for Release 3.1 production status and the commencement date for mandated filings has arrived, all MODWC FROI required by Missouri Statute and Rules must continue to be submitted via Release 1. The objective of testing is to confirm that all parties are adhering to the requirements documented in the EDI Trading Partner Acknowledgment Form and that the EDI interface is working properly.

Section Six: EDI Technical Requirements

A. Electronic Data Interchange Rules

Mo. Rev. Stat. §287.650 authorizes the Missouri Division of Workers' Compensation to use electronic processes for carrying out the provisions of the Workers' Compensation Law.

Mo. Rev. Stat. §287.380. Employer or insurer to make report to division, requirements — information not to be disclosed — failure to report, penalty.

1. Every employer or his insurer in this state, whether he has accepted or rejected the provisions of this chapter, shall within thirty days after knowledge of the injury, file with the division under such rules and regulations and in such form and detail as the division may require, a full and complete report of every injury or death to any employee for which the employer would be liable to furnish medical aid, other than immediate first aid which does not result in further medical treatment or lost time from work, or compensation hereunder had he accepted this chapter, and every employer or insurer shall also furnish the division with such supplemental reports in regard thereto as the division shall require. All reports submitted under this subsection shall include the name, address, date of birth and wages of the deceased or injured employee, the time and cause of the accident, the nature and extent of the injury, the name and address of the employee's and the employer's or insurer's attorney of record, if any, the medical cost incurred in treating the injured employee, the amount of lost work time of the employee as a result of the injury and such other information as the director may reasonably require in order to maintain in the division, accurate and complete data on the impact of work-related injuries on the workers' compensation system. The division shall collect and maintain such data in such a form as to be readily retrieved and available for analysis by the division. Employers shall report all injuries to their insurance carrier, or third-party administrators, if applicable, within five days of the date of the injury or within five days of the date on which the injury was reported to the employer by the employee, whichever is later. Where an employer reports injuries covered pursuant to this chapter to his insurer or third-party administrator, the insurer or third-party administrator shall be responsible for filing the report prescribed in this section.

B. Electronic Data Reporting Format

The Missouri Department of Labor and Industrial Relations Division of Workers' Compensation uses IAIABC Claims Release 3.1 standards for all EDI FROI and SROI submissions. The IAIABC Implementation Guide can be found on the IAIABC website www.iaiabc.org. Data format must be in compliance with the standard data format described in the Systems Rules in Section 2 of the Release 3.1 Implementation guide.

C. Maintenance Type Codes

A MTC (Maintenance Type Code) is a code indicating the transaction to submit to comply with MODWC EDI reporting requirements. The following MTCs are allowed to be submitted to the MODWC. Refer to the Event Table for report timeliness.

	MTC	**Description**
FROI	00	Original
	01	Cancel Entire Claim
	02	Change
	04	Denial
	AQ	Acquired
	AU	Acquired/Unallocated
	UR	Update Report
SROI	**MTC**	**Description**
	02	Change
	04	Denial
	AP	Acquired/Payment
	CA	Change in Benefit Amount
	CB	Change in Benefit Type
	CD	Compensable Death – No Known Dependents/Payees
	EP	Employer Paid

ER	Employer Reinstatement	
FN	Final	
IP	Initial Payment	
NT	Narrative	
PD	Partial Denial	
PY	Payment Report	
RB	Reinstatement of Benefits	
SX	Full Suspension	
UR	Update Report	
QT	Quarterly	

D. Information and Data Reported

Each piece of information for electronic reports is defined as a data element. Please refer to the Section 6 of the IAIABC Claims Release 3.1 EDI Implementation Guide for definitions of each data element.

E. Employee Security ID (DN0206)

1. MODWC will assign an Employee Security ID to be returned on the acknowledgement of any initiating FROI that is accepted (FROI 00, 04, AU). All subsequent transactions should be sent using the Employee Security ID instead of one the following Employee ID's sent on the FROI:

   Employee SSN (DN0042) OR Employee ID Assigned by Jurisdiction (DN0154)

2. If two different Employee Security ID's were assigned to what turned out to be the same person, MODWC will reach out to the claim administrator and advise which Employee Security ID should be used, and the claim administrator should send a FROI 02 to Update the Employee Security ID. MODWC will validate that the new "changed" Employee Security ID on the FROI 02 is correct. If the changed Employee Security ID does not match MODWC'S records, it will reject.

F. Employee ID Assigned by Jurisdiction (DN0154)

DN0154 Employee ID Assigned by Jurisdiction

If DN0042 is not available, then MODWC will accept the following:

When establishing a claim must be:

First Character of DN0044 Employee First Name, followed by First Character of DN0043 Employee Last Name, followed by DN0052-Employee Date of Birth (MMDDYY), e.g. XX051590 (where Date of Birth is May 15, 1990)

G. Employee First Name and Employee Last Name (DN0044 and DN0043)

The Employee First Name (DN0044) and Employee Last Name (DN0043) must match Employee First Name and Employee Last Name with Employee ID in MODWC's database.

Note: Please contact: edi@labor.mo.gov to resolve any discrepancy.

H. Insurer Name (DN0007)

Insurer Name sent on transaction must match exactly to the name that is on their approved Trading Partner Profile for the Insurer FEIN (DN0006) submitted, including spaces and special characters.

I. Edit Matrix - Match Data

1. Match Data elements are used to identify a transaction as a new claim to create, or match to an existing claim for duplicate checking, updating and processing. On a specific claim, a primary "match" data element value may change and prevent a match. When there is no match on one of the primary "match" data elements, secondary "match" data elements are used to match a claim. Refer to the Edit Matrix Match Data table for the application of primary and secondary Match Data elements, available on the MODWC EDI Claims website: https://modwcedi.info/guide.

2. Changes to Match Data elements must be reported on a FROI 02 (Change) transaction before further reporting for the claim will be accepted. Only one Match Data element can be changed on a FROI 02 (Change) unless indicated otherwise on Match Data table on the 'Multiple element changes Category legend'.

J. MODWC EDI Reporting Requirements

The MODWC EDI Reporting Requirement Tables are based on the IAIABC Master Tables format. The tables are located at https://modwcedi.info/guide and are described below.

1. **Event Table**: The Event Table is designed to provide information integral for a Trading Partner to understand the MODWC 's EDI reporting requirements. It relates EDI information to the circumstances under which they are initiated as well as the

timeframes for sending the information. These circumstances and timeframes reflect Missouri's specifications relative to reporting requirements based on various criteria.

2. **Element Requirement Table:** The Element Requirement Table is designed to communicate MODWC's business data element requirements. Each data element requirement is defined for each report (FROI or SROI), down to the level of Maintenance Type Code based on Report Type criteria established on the Event Table.

3. **Edit Matrix**: The Edit Matrix describes editing that will be applied by the MODWC to incoming transactions.

   The Edit Matrix is designed to communicate the edits applied by the MODWC to assist the Trading Partner in understanding the edits that will be applied and the data quality expected. The edits that are applied are based on the IAIABC standards and on MODWC's data requirements. They are based on the requirements that are indicated on the MODWC Element Requirement Table. The Edit Matrix contains the tables shown below.

   o *DN-Error Message* describes editing that will be applied to each data element.
   o *Value Table* identifies code values accepted by Missouri.
   o *Valid Value Detail Page 1* (Page 1 of 2) expresses the Missouri's acceptable code values presenting the code and the code description.
   o *Valid Value Detail Page 2* (Page 2 of 2) expresses the Missouri's acceptable code values presenting the code and the code description.
   o *Match Data* describes the data elements that will be used to determine if the report will create a new claim or find an existing claim or transaction in the MODWC's database
   o *Population Restrictions* contains the MODWC's restrictions applied to the data element(s).
   o *Sequencing* illustrates logical transaction sequencing for Missouri. Transaction sequencing refers to the order in which the MTCs must be sent in. For example, an IP will not be accepted by Missouri before a 00 original FROI has been accepted.
   o *Legacy Claim Definition contains how* Missouri *defines a Legacy and Non-Legacy claim*
   o *Accident Site County List contains a listing of all valid county spellings in* Missouri
   o *PI Body Part Code(s) Worksheet (Not used by* Missouri*)* summarizes duration of scheduled losses for permanent impairment injuries based on jurisdiction regulations.

K. Requirements for Becoming an EDI Trading Partner

1. Complete the Trading Partner Registration and receive approval from the MODWC. To register, go to https://modwcedi.info/register. The Trading Partner Registration provides pertinent information to Missouri about the Sender, Receiver, Insurer and Claim Administrators and transmission protocol.
2. All Claims Administrators, Insurers, Self-Insured/Self-Administered Employers, and Third-Party Administrators who will be submitting data to Missouri via a Direct SFTP connection, Jurisdiction Web Entry, or EDI Vendor **must complete a New** Missouri EDI Claims Release 3.1 Trading Partner Profile.

3. If you are an Insurer or Self-Insured Employer who uses a Third-Party Administrator, you are not required to register; however, you must ensure that your Third-Party Administrator includes your company on their Trading Partner Profile Registration.
4. Complete Testing Requirements outlined in this section titled Testing Procedures for Trading Partners.

L. Data Delivery - SFTP (Secure File Transfer Protocol)

1. Trading Partners and Vendors will connect to an SFTP (Secure File Transfer Protocol) server hosted by MODWC's Vendor in order to send FROI and SROI files and receive Acknowledgment files. To obtain a SFTP connection, each Trading Partner must first complete the Trading Partner Registration and be approved by MODWC. Once approved as a Trading Partner, MODWC will provide the appropriate access information.

2. Trading Partners may log into the SFTP server using the software or scripting system they have at their disposal and on the platform the Trading Partner is running.

3. FROI SROI Files: Trading Partners will load their FROI/SROI files into the specified location provided by MODWC. MODWC will pick up the files and delete them from the specified location as they are processed.

4. Acknowledgment Files: Trading Partners are required to pick up their acknowledgment files from the specified location provided by MODWC. The Trading Partners are required to delete acknowledgment files from the specified location as soon as they have verified that they have been successfully received. It is important that the Trading Partner delete the file(s) as they will remain in the specified location which may result in multiple downloads of the same files.

M. Inbound File Names

1. The MODWC recommends but does not require that files submitted to MODWC's Vendor SFTP server should be named using the following convention in order to reduce the potential of files being overwritten.

    ST_InterchangeVersionID_SenderFEIN_SenderPC_DateTransSent_TimeTransSent_UniqueSeq.edi

    - ST: State Code = MO
    - Interchange Version ID:
        - FROI Files: 14831
        - SROI Files: A4931

2. Sender FEIN and Sender PC (Postal Code) will be that of the Trading Partner per the Header Record.

3. DateTransSent (YYYYMMDD) and TimeTransSent (HHMMSS) is date and time per the Header Record

4. Optionally, Unique Seq (Sequence): The Unique Seq (Sequence) Number For example: Starting with the FROI then SROI; first FROI 0001, then SROI 0002, and if additional files on a given day then FROI 0003, then SROI 0004.

N. Reporting Timelines

1. **Monday through Friday:** The cut-off for receipt of data from MODWC Trading Partners will be 11:59 PM EST. MODWC Trading Partners will receive acknowledgment files no later than 5:00 AM EST pending any unforeseen processing issues.

2. **Friday (after the cutoff), Saturday and/or Sunday:** Trading Partners can connect and upload FROI/SROI files and will receive acknowledgment files no later than 5:00 AM EST on Monday pending any unforeseen processing issues.

If you have not received an acknowledgement within that time frame and have not received an advisory e-mail, please contact the MODWC EDI Support Mailbox at https://modwcedi.info.

O. Acknowledgment Reports

1. There are two types of acknowledgments that are sent back to trading partners when First Reports of Injury or Subsequent Reports of Injury batches are processed. One is a batch level AKC and the other is the transaction level AKC.

2. The first type of AKC record occurs at the batch level only if the batch rejects. One AKC transaction will be sent with the HD level rejection. When a batch rejects, all of its content rejects.

3. The second type of AKC record occurs when a batch is not rejected. The transactions within the batch are processed and detailed level (transaction level) data is provided indicating whether the transaction has been accepted (TA) or rejected (TR). If the transaction represents the first filing (FROI 00) and is accepted, MODWC will return the Jurisdiction Claim Number (JCN) DN0005 on the AKC. The JCN should be captured and recorded for later use for subsequent filings. If a transaction is rejected, detailed error information is provided on the acknowledgment. It is the Trading Partner's responsibility to use this error information for consideration on next steps.

4. MODWC Acknowledgment files naming convention: ST_YYYYMMDD_HHMMSS_AK.txt

   - o ST State Code = MO
   - o YYYYMMDD: Current Date
   - o HHMMSS: Unique Time Stamp
   - o AK: Indicates Acknowledgment file
   - o Txt: indicates a text file.

   Example: MO_20161229_131202_AK.txt

P. **Testing Procedures for Trading Partners**

1. MODWC EDI Trading Partners submitting data are required to complete the Test Plan.

2. Exceptions: No testing is required for MODWC Web Entry filers. In some cases, if a Trading Partner is using a Vendor, the Vendor will coordinate and/or perform the testing on behalf of the Trading Partner.

3. The MODWC Test Plan can be found at https://modwcedi.info/, under Implementation Info. This Test Plan provides information for the expected results to be approved for production reporting. Please contact the MODWC EDI Support Team at modwcedi@verisk.com prior to sending any Test transaction(s), if you have any questions about the test and/or to confirm your testing readiness.

Section Seven: Important Terminology and Acronyms

The following applies to this EDI Claims Implementation Guide and Trading Partner Tables posted on https://modwcedi.info/.

Acknowledgment Record (aka: Response)

An EDI record sent from the Jurisdiction to the Trading Partner's Vendor in response to an EDI report. It contains key data elements to identify the transaction and any technical and/or business issues discovered. This is known as an AKC.

Administrator

See: Claim Administrator

Batch

A set of records containing one IAIABC Header record, one or more FROI or SROI transactions, and one Trailer record. Any error in the Header record or the Trailer record will cause the rejection of the entire Batch without further transaction level edits being applied.

Business Rules

The business requirements that dictate when a report is created, edited and when and how it is transmitted.

Claim Administrator

The organization that services workers' compensation claims according to Jurisdiction rules and applicable statutory provisions. For example, an administrator may be a Third-Party Administrator, a self-administered Self-Insured Employer, etc.

Data Element

A single piece of defined information (e.g. Date of Birth) contained within a transaction (i.e. FROI). Each Data Element is assigned a reference number (DN = Data Number) and includes a

definition and format (length & data type) and if format is a code will list acceptable values or reference the code source (for example Employer FEIN is 9 AN).

EDI

Electronic Data Interchange. It is computer-to-computer exchange of data or information in a standardized format. EDI Claims is the electronic transmission of workers' compensation claims information from an authorized Submitter whose clients may be insurers, self-insured employers and third-party claims administrators to a States' Workers' Compensation Regulatory Agency.

Edit Matrix

Identifies the edits to be applied to each data element to ensure data quality expectations are met. The MODWC Vendor applies the edits to transaction and submits to MODWC only transactions that have passed all edits and are accepted (TA).

Edited Data

A term used to describe the information on a transaction after it has been processed through the MODWC system edits and found to contain valid data.

Electronic Format

MODWC selected the IAIABC Claims Release 3.1 flat file format for EDI claim reporting. This format was chosen to standardize, simplify and reduce the cost of data exchange.

Element Requirement Table

A tool used to communicate data elements required by the Receiver, specifying which elements are mandatory, expected or ancillary. This allows for data element requirements to be defined for each record layout (FROI or SROI) and down to the level of each Maintenance Type Code (MTC). Further, it provides for element requirements to differ based on Report Type criteria established in the Event Table.

Environment

The boundaries and conditions under which an application runs or in which files are manipulated or processed.

Event

A specific business event; such as the occurrence of an accident, the initial payment of a claim or denial of a claim etc. Events, when entered into a computer system, may be defined as a trigger for a Jurisdiction required report.

Event Table

A table designed to provide information integral for a Sender to understand the Receiver's EDI reporting requirements. It relates EDI information to events and under what circumstances they are initiated.

FEIN

Federal Employers Identification Number, this is the corporation/business US Federal Tax ID, or can be an individual's US Social Security number.

FROI (148 Record)

First Report of Injury. Based on IAIABC Claims Release 3.1 standards FROI is a group of transactions occurring in the early stages of workers' compensation claim processing that typically report the parties involved and describe the accident and resulting injuries.

Header Record

The record that precedes each batch of EDI transactions. The header record and corresponding trailer record surround each batch of transactions and uniquely identifies the Sender as well as the date/time a batch was created. See also: Trailer Record.

IAIABC

International Association of Industrial Accident Boards and Commissions. A Not-for-profit trade association whose members are industrial accident, workers' compensation or other governmental bodies as well as associate members comprised of other industry-related organizations and individuals. The IAIABC has authored EDI standards that cover the transmission of Claims, Proof of Coverage, and Medical Bill Payment information through electronic reporting.

IG

Implementation Guide.

MODWC

Missouri Department of Labor and Industrial Relations Division of Workers' Compensation the entity overseeing workers' compensation laws in Missouri.

MODWC Vendor (aka as Submitter or Sender)

For the purposes of this Implementation Guide, a Vendor is an EDI Service Provider authorized by MODWC to provide its products/services to MODWC's Trading Partners. As such it becomes the Sender/Submitter on behalf of the Trading Partner to MODWC.

MTC

Maintenance Type Code. A code that identifies the purpose of an EDI transaction and is interchangeable with report type. The two-character MTC is included in all EDI transactions. For example: an original FROI is MTC 00.

Production

A designation that the Submitter is sending transactions in a "live" environment after satisfactory completion of all EDI implementation testing as determined by MODWC.

Raw Data

The transaction and its contents as received from a Submitter by MODWC and before the data is subjected to MODWC's EDI Claims Release 3.1 requirements.

Requester / Receiver

MODWC is the Receiver of transactions from the Submitter. The Submitter is also the sender of transaction acknowledgments to the EDI trading partners who are the receivers of the transaction acknowledgments.

Self-Insured Employer

An employer authorized by the MODWC to self-insure its workers' compensation obligations/risks in accordance with applicable law, rules and regulations.

Sender / Submitter

An entity that submits FROI and SROI information in the IAIABC EDI Claims Release 3.1 format and receives EDI acknowledgments from MODWC. This entity is required to complete the Trading Partner Profile forms. In addition, please see: Vendor.

SFTP

Secure File Transfer Protocol. A communications protocol governing the transfer of files from one computer to another over a network.

SROI (A49 Record)

Subsequent Report of Injury. Based on IAIABC EDI Claims Release 3.1 standards SROI is a group of transactions of workers' compensation claim processing that typically report the benefit, payment, return to work and closure data.

TA

Transmission Accepted. Acknowledgment code indicating that a FROI or SROI has passed all event table, element requirement and edit matrix test for data timeliness and quality.

TE

Transmission Accepted with Error. Acknowledgment code indicating that an error was found on an expected data element. A CO (Correction) should be submitted to resolve the error(s).

Testing Period

The initial environment in which the sender/submitter transmits a series of transactions that are analyzed for both technical and business content within a time period specified.

Test Plan

A plan developed by MODWC and the Sender/Submitter's EDI Coordinator outlining the events, time frame, and the responsibilities of each party for testing and evaluating data sent in the test environment.

TP

Trading Partner

TR

Transmission Rejected. Acknowledgment code indicating that a FROI or SROI has failed one or more event table, element requirement and edit matrix tests for data timeliness and quality.

Trading Partners

Two entities exchanging data electronically. For the purpose of this Implementation Guide, the two entities are the data requester/receiver (MODWC Vendor and MODWC) and the data sender/submitter (i.e. MODWC Vendor, claims administrator, self-insured, insurance carrier).

Trailer Record

A record that designates the end of a batch of transactions and provides a count of records/transactions contained within a batch. See Header Record.

Transaction

Submission of a FROI or SROI report that contains data elements as defined in the IAIABC record layouts, which are found in the IAIABC EDI Claims Release 3.1 Implementation Guide and for records specific to Missouri, are found in the MODWC EDI tables posted on the MODWC's EDI website: https://modwcedi.info/

Transaction Type

Defines the transaction by the MTC submitted. For example: an initial FROI using MTC 00.

Transmission File

One or more batches shipped together from the sender/submitter to the receiver.

Links to EDI Claims related information

- MO DWC EDI Claims R3.1 website: https://modwcedi.info/
- Missouri Department of Labor and Industrial Relations Division of Workers' Compensation - Home Page: https://labor.mo.gov/dwc
- MO DWC EDI Support Team: modwcedi@verisk.com